

Upcoming COVID-19 Impact Survey

We wanted to advise you that we plan to send you a survey to complete on financial resilience. You will receive the survey on either **4 June** or **8 June** with responses due within **7 working days**. This survey is being sent to all firms in our selected portfolios unless you have already provided related information to us as part of another data collection exercise.

At the FCA, our core responsibilities include protecting consumers and enhancing the integrity of the UK financial markets. We know that financial stresses can put additional pressure on firms and so we are seeking to understand the effect Coronavirus (Covid-19) is having on the finances of the firms we regulate and better guide our supervisory actions. Firms are reminded of their obligations under SUP 15 and where they require the FCA to be informed about the effects of significant financial stress.

We require you to complete the short survey in full, under section 165 of the Financial Services & Markets Act (FSMA) 2000. We have designed this survey so that it is quick and simple to complete. However, if due to exceptional circumstances you cannot access your financial information, please ensure that you complete the questions where the relevant information is available. Your

1. What is the total amount of liquidity resources that you control or have unrestricted access to? (GBP)

Please report the actual number and not in thousands or millions

	As at 29-02-2020	As at 31-05-2020
Cash	£	£
Available committed facilities	£	£
High Quality Liquid Assets (use definition provided in BIPRU 12.7.2 as reference)	£	£

2. What are your estimated cash needs (fixed costs, known variable costs) and expected cash inflows over the next 3 months? (GBP)

Please report the actual number and not in thousands or millions

Cash needs

£

Expected cash inflows

£

3. Has your firm negotiated any extensions with creditors/delayed payments (i.e. rent, outsources, lines of credit, etc)?

Yes

No

4. Have you had or are you expecting a decrease in net income because of the impact of Covid-19?

Yes

No

5. What is your net profit OR loss in the given period? (GBP)

Please report the actual number and not in thousands or millions

	3 months to 29-02-2020	3 months to 31-05-2020
Profit	£+ <input type="text"/>	£+ <input type="text"/>
Loss	£(<input type="text"/>)	£(<input type="text"/>)

6. What impact is Covid-19 having on your business model?

- Positive
- Neutral
- Negative
- Threatens survival

7. Please provide the level of your safeguarded money/ client money for the periods below. (*For E-money and Payments and General Insurance firms only). (GBP)

Please provide the level of safeguarded money or client money as applicable

29-02-2020

£

31-05-2020

£

8. What was your income in the last financial year? (Please provide this for the most recent period even if not audited)

Please report the actual number and not in thousands or millions

Period Ending

dd/mm/yyyy

Income

£

9. Have you furloughed permanent employees?

Yes

No

10. Have you received a government backed loan scheme?

Yes

No

